

## Nodal Domains for the $p$ -Laplacian

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### Abstract

In this paper we consider the eigenvalue problem  $-\Delta_p u = \lambda(m)|u|^{p-2}u$ ,  $u \in W_0^{1,p}(\Omega)$  where  $p > 1$ ,  $\Delta_p$  is the  $p$ -Laplacian operator,  $\lambda > 0$ ,  $\Omega$  is a bounded domain in  $\mathbb{R}^N$  ( $N \geq 1$ ) and  $m$  is a given positive function in  $L^r(\Omega)$  ( $r$  depending on  $p$  and  $N$ ). We prove that the second positive eigenvalue admits exactly two nodal domains.

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### 1. Introduction

Consider the problem

$$\begin{cases} -\Delta_p u = \lambda m(x)|u|^{p-2}u & \text{in } \Omega, \\ u = 0 & \text{on } \partial\Omega, \end{cases} \quad (1.1)$$

where  $p > 1$ ,  $\Delta_p u = \operatorname{div}(|\nabla u|^{p-2}\nabla u)$  denotes the  $p$ -Laplacian,  $\Omega$  is a bounded domain in  $\mathbb{R}^N$ ,  $N \in \mathbb{N}$  and  $\lambda$  is the eigenvalue parameter. We denote  $M^+(\Omega) = \{m \in L^r(\Omega) :$

$\text{meas}\{x \in \Omega : m(x) > 0\} \neq 0\}$ , with  $r > \frac{N}{p}$  if  $1 < p \leq N$  and  $r = 1$  if  $p > N$ . We assume that  $m$  satisfies the hypothesis

(H) :  $m(x) \geq 0$  a.e.  $x \in \Omega$  and  $m \in M^+(\Omega)$ .

Let us start by considering the sequence  $\mu_1 < \mu_2 \leq \mu_3 \cdots \rightarrow +\infty$  of all eigenvalues of  $-\Delta$  on  $H_0^1(\Omega)$ , with  $m \in L^\infty(\Omega)$ ,  $\Omega$  being a bounded domain in  $\mathbb{R}^N$ , where each  $\mu_k$  is repeated according to its multiplicity. A well-known theorem of Courant [4] states that if  $u \in H_0^1(\Omega)$  is an eigenfunction associated to  $\mu_k$ , then  $u$  admits at most  $k$  nodal domains. This theorem was partially extended to the  $p$ -Laplacian by Anane and Tsouli in [1]. Let us denote by  $\lambda_1 < \lambda_2 \leq \lambda_3 \dots \rightarrow +\infty$  the sequence of eigenvalues of  $-\Delta_p$  on  $W_0^{1,p}(\Omega)$  obtained by the Ljusternik–Schnirelman method (see [7]). In the linear case  $p = 2$  and  $m \in L^\infty(\Omega)$ , this sequence  $\lambda_1 < \lambda_2 \leq \lambda_3 \dots$  yields all eigenvalues and coincides with the previous sequence  $\mu_1 < \mu_2 \leq \mu_3 \dots$  (see [3, page 23]). The result of [1] is the following. Let  $\lambda$  be an eigenvalue of  $-\Delta_p$  on  $W_0^{1,p}(\Omega)$  and suppose that for some  $k$ ,  $\lambda < \lambda_k$ . Then the number of nodal domains of an eigenfunction associated to  $\lambda$  is strictly inferior to  $k$ . M. Cuesta et al. in [6] proved that in the nonlinear case and  $m \equiv 1$ , the number of nodal domains of an eigenfunction associated to  $\lambda_2$ , the second eigenvalue, is exactly 2. In this paper we prove that if  $m$  satisfies the hypothesis (H), then the number of nodal domains of an eigenfunction associated to  $\underline{\lambda}_2(\Omega, m)$ , the second eigenvalue for the problem (1.1), is exactly 2.

## 2. Preliminaries

Throughout this paper,  $\Omega$  will be a bounded domain of  $\mathbb{R}^N$  and we will always assume the hypothesis (H).  $W_0^{1,p}(\Omega)$  will denote the usual Sobolev space with norm  $\|u\|_{1,p} = \left( \int_{\Omega} |\nabla u|^p dx \right)^{\frac{1}{p}}$ . We will write  $\|\cdot\|_p$  for the  $L^p(\Omega)$  norm,  $p'$  denotes the Hölder conjugate exponent of  $p$ . We will write  $Y = L^{p'}(\Omega)$  if  $1 < p \leq N$  and  $Y = C(\Omega)$  if  $p > N$ . The infinity norm in the case  $Y = C(\Omega)$  will be denoted by  $\|\cdot\|_Y$ .  $|\Omega|$  denotes the Lebesgue measure of  $\Omega$ . We recall that a value  $\lambda \in \mathbb{R}$  is an eigenvalue of problem (1.1) if and only if there exists  $u \in W_0^{1,p}(\Omega) \setminus \{0\}$  such that  $\int_{\Omega} |\nabla u|^{p-2} \nabla u \nabla \varphi dx = \lambda \int_{\Omega} m|u|^{p-2} u \varphi dx$  for all  $\varphi \in W_0^{1,p}(\Omega)$ , and  $u$  is then called an eigenfunction associated to  $\lambda$ . Now let us formulate variational problem (1.1), for that we introduce the  $C^1$  functionals  $\Phi$  by  $\Phi(u) = \int_{\Omega} |\nabla u|^p dx$  and  $B : W_0^{1,p}(\Omega) \rightarrow \mathbb{R}$  by  $B(u) = \int_{\Omega} m|u|^p dx$ .  $\tilde{\Phi}$  will denote the restriction of  $\Phi$  to  $M = \{u \in W_0^{1,p}(\Omega) : B(u) = 1\}$ . A first sequence of positive critical values of  $\tilde{\Phi}$  comes from the Ljusternik–Schnirelman critical point theory on  $C^1$  manifolds proved in [8] that  $\underline{\lambda}_n(\Omega, m) = \inf_{K \in \Gamma_n} \max_{u \in K} \Phi(u)$  is an eigenvalue. Moreover  $\lim_{n \rightarrow +\infty} \underline{\lambda}_n(\Omega, m) = +\infty$ . Here

$\Gamma_n = \{K \subset M : K \text{ compact, symmetric and } \gamma(K) \geq n\}$  and  $\gamma(K)$  indicates the genus of  $K$ . Finally in [5], M. Cueta showed that  $\underline{\lambda}_1(\Omega, m)$  is simple, isolated, and possesses the property of strict monotonicity respectively to the domain and the weight. This result has been shown in [2] in the case  $m \in L^\infty(\Omega)$ . Since  $\underline{\lambda}_1(\Omega, m)$  is isolated in the spectrum and there exist eigenvalues different from  $\underline{\lambda}_1(\Omega, m)$ , it makes sense to define the second eigenvalue of (1.1) as  $\lambda_2 := \min\{\lambda \in \mathbb{R} : \lambda \text{ eigenvalue and } \lambda > \underline{\lambda}_1(\Omega, m)\}$ . This result is also proved in [1] in the case  $m \in L^\infty(\Omega)$ .

**Theorem 2.1.** [5] If  $m$  satisfies the hypothesis (H), then

$$\underline{\lambda}_2(\Omega, m) = \lambda_2 = \mu_2 = \inf_{h \in \mathcal{F}} \max_{u \in h([-1, 1])} \int_{\Omega} |\nabla u|^p dx,$$

where  $\mathcal{F} = \{\gamma \in C([-1, 1], M) : \gamma(-1) = -\varphi_1, \gamma(1) = \varphi_1\}$  and  $\varphi_1 \in M$  is the positive eigenfunction associated to  $\underline{\lambda}_1(\Omega, m)$ .

**Proposition 2.2.** Let  $\Omega_1$  be a proper open subset of a domain  $\Omega_2 \subset \mathbb{R}^N$  such that  $\text{meas}(\{x \in \Omega_1 : m(x) > 0\}) \neq 0$ . Then  $\underline{\lambda}_1(\Omega_2, m) < \underline{\lambda}_1(\Omega_1, m)$ .

*Proof.* Consider  $A = \left\{ u \in W_0^{1,p}(\Omega_1) : \int_{\Omega_1} |\nabla u|^p = 1 \right\}$ . Now

$$\begin{aligned} \frac{1}{\underline{\lambda}_1(\Omega_1, m)} &= \sup_{u \in A} \int_{\Omega_1} m|u|^p dx = \int_{\Omega_1} m|\varphi_1|^p dx \\ &= \int_{\Omega_1} m|\tilde{\varphi}_1|^p dx < \int_{\Omega_2} m|\varphi_2|^p dx \\ &= \frac{1}{\underline{\lambda}_1(\Omega_2, m)}, \end{aligned}$$

where  $\varphi_1, \varphi_2$  are respectively the eigenfunctions associated to  $\underline{\lambda}_1(\Omega_1, m), \underline{\lambda}_1(\Omega_2, m)$  and  $\tilde{\varphi}_1 = \varphi_1$  on  $\Omega_1, \tilde{\varphi}_1 = 0$  on  $\Omega_2 \setminus \Omega_1$ . ■

### 3. Nodal Domains of the Second Eigenfunction

The main result in this section is the following theorem.

**Theorem 3.1.** An eigenfunction associated to  $\underline{\lambda}_2(\Omega, m)$  admits exactly two nodal domains.

Consider  $\Phi(u) = \int_{\Omega} |\nabla u|^p dx$  and  $M = \left\{ u \in W_0^{1,p}(\Omega) : \int_{\Omega} m|u|^p dx = 1 \right\}$ . We have  $\underline{\lambda}_2(\Omega, m) = \inf_{K \in \Gamma_2} \max_{u \in K} \Phi(u)$ , where  $\Gamma_2 = \{K \subset M : K \text{ compact, symmetric and } \gamma(K) \geq 2\}$ . Let  $\varphi_2$  be the second eigenfunction associated to  $\underline{\lambda}_2(\Omega, m)$ .  $\varphi_2$  must change sign and consequently admits at least one positive nodal domain  $\Omega_1$  and one negative nodal domain  $\Omega_2$ . Let us assume by contradiction the existence of a third nodal domain

with say  $\varphi_2 > 0$  in  $\Omega_3$  (the argument would be similar if  $\varphi_2 < 0$  in  $\Omega_3$ ). Thus we have the following lemma.

**Lemma 3.2.** There exists an open and connected set  $\theta_2 \subset \Omega$  with  $\Omega_2 \not\subset \theta_2$  such that  $\theta_2$  is disjoint of  $\Omega_1$  or  $\Omega_3$ .

*Proof of Theorem 3.1.* Let us admit Lemma 3.2 for a moment and show how to derive a contradiction. We will assume below that the lemma is satisfied with  $\theta_2$  disjoint of  $\Omega_1$  (the argument would be similar in the other case). We will show the existence of a function  $v \in W_0^{1,p}(\Omega)$  which changes sign and satisfies

$$\begin{aligned} 0 &< \int_{\Omega} |\nabla v^+|^p dx < \underline{\lambda}_2(\Omega, m) \int_{\Omega} m(v^+)^p dx, \\ 0 &< \int_{\Omega} |\nabla v^-|^p dx < \underline{\lambda}_2(\Omega, m) \int_{\Omega} m(v^-)^p dx. \end{aligned}$$

Since

$$\begin{cases} -\Delta_p(\varphi_2) = \underline{\lambda}_2(\Omega, m)m|\varphi_2|^{p-2}\varphi_2 & \text{in } \Omega, \\ \varphi_2 = 0 & \text{on } \partial\Omega, \end{cases}$$

where  $\varphi_2$  is the second eigenfunction associated to the second eigenvalue  $\underline{\lambda}_2(\Omega, m)$ , we have

$$\begin{cases} -\Delta_p(\varphi_2) = \underline{\lambda}_2(\Omega, m)m|\varphi_2|^{p-2}\varphi_2 & \text{in } \Omega_1, \\ \varphi_2 = 0 & \text{on } \partial\Omega_1. \end{cases}$$

Thus  $\underline{\lambda}_2(\Omega, m) = \underline{\lambda}_1(\Omega_1, m)$ . Also, from Proposition 2.2 we conclude that  $\underline{\lambda}_1(\theta_2, m) < \underline{\lambda}_1(\Omega_2, m)$ , where  $\underline{\lambda}_1(\theta, m)$  denotes the first eigenvalue of  $-\Delta_p$  on  $W_0^{1,p}(\theta)$ . We then decrease  $\theta_2$  and increase  $\Omega_1$ , so as to get two new open sets in  $\Omega$ ,  $\tilde{\theta}_2$  and  $\tilde{\Omega}_1$ , with empty intersection such that  $\underline{\lambda}_1(\tilde{\theta}_2, m) < \underline{\lambda}_2(\Omega, m)$  and  $\underline{\lambda}_1(\tilde{\Omega}_1, m) < \underline{\lambda}_2(\Omega, m)$ . On the other hand let  $u_1$  be the first positive eigenfunction associated to  $\underline{\lambda}_1(\tilde{\Omega}_1, m)$ . Then we have

$$\begin{cases} -\Delta_p(u_1) = \underline{\lambda}_1(\tilde{\Omega}_1, m)mu_1^{p-1} & \text{in } \tilde{\Omega}_1, \\ u_1 = 0 & \text{on } \partial\tilde{\Omega}_1. \end{cases}$$

Let  $u_2$  be the first positive eigenfunction associated to  $\underline{\lambda}_1(\tilde{\theta}_2, m)$ . Thus

$$\begin{cases} -\Delta_p(u_2) = \underline{\lambda}_1(\tilde{\theta}_2, m)mu_2^{p-1} & \text{in } \tilde{\theta}_2, \\ u_2 = 0 & \text{on } \partial\tilde{\theta}_2. \end{cases}$$

Put  $v_1 = u_1/\tilde{\Omega}_1$ ,  $v_1 = 0$  on  $\Omega \setminus \tilde{\Omega}_1$  and  $v_2 = u_2/\tilde{\theta}_2$ ,  $v_2 = 0$  on  $\Omega \setminus \tilde{\theta}_2$ . Let  $v = v_1 - v_2$ . We have

$$\int_{\Omega} |\nabla v^+|^p dx = \int_{\Omega} |\nabla v_1|^p dx = \underline{\lambda}_1(\tilde{\Omega}_1, m) \int_{\Omega} m|v_1|^p dx < \underline{\lambda}_2(\Omega, m) \int_{\Omega} m|v_1|^p dx \quad (3.1)$$

and

$$\int_{\Omega} |\nabla v^-|^p dx = \int_{\Omega} |\nabla v_2|^p dx = \underline{\lambda}_1(\tilde{\theta}_2, m) \int_{\Omega} m|v_2|^p dx < \underline{\lambda}_2(\Omega, m) \int_{\Omega} m|v_2|^p dx, \tag{3.2}$$

where  $v^+ = \max(v, 0)$  and  $v^- = \max(-v, 0)$ . Consider the mapping

$$\phi : M \cap \langle v^+, v^- \rangle \rightarrow S \cap \langle v^+, v^- \rangle : u \mapsto \frac{u}{\|u\|_{1,p}}$$

which is odd homomorphic with

$$\phi^{-1} : S \cap \langle v^+, v^- \rangle \rightarrow M \cap \langle v^+, v^- \rangle : v \mapsto \frac{v}{\left(\int_{\Omega} m|v|^p dx\right)^{\frac{1}{p}}},$$

where  $M = \left\{u \in W_0^{1,p}(\Omega) : \int_{\Omega} m|u|^p dx = 1\right\}$ ,  $S = \{u \in W_0^{1,p}(\Omega) : \|u\|_{1,p} = 1\}$  and  $\langle v^+, v^- \rangle$  represents the space spanned by  $v^+$  and  $v^-$ . Put  $F_2 = M \cap \langle v^+, v^- \rangle$ . Then we have  $\gamma(F_2) = 2$ , where  $\gamma(F_2)$  indicates the genus of  $F_2$ . Now let  $u \in F_2$ . Then there exists  $(a, b) \in \mathbb{R}^2 \setminus \{(0, 0)\}$  such that  $u = av^+ + bv^-$ . Hence we have

$$0 < \int_{\Omega} |\nabla u|^p dx = |a|^p \int_{\Omega} |\nabla v^+|^p dx + |b|^p \int_{\Omega} |\nabla v^-|^p dx.$$

From (3.1) and (3.2), we conclude that

$$\int_{\Omega} |\nabla u|^p dx = |a|^p \underline{\lambda}_1(\tilde{\Omega}_1, m) \int_{\Omega} m|v_1|^p dx + |b|^p \underline{\lambda}_1(\tilde{\theta}_2, m) \int_{\Omega} m|v_2|^p dx.$$

Thus  $\int_{\Omega} |\nabla u|^p dx < \underline{\lambda}_2(\Omega, m)$ , which contradicts  $\underline{\lambda}_2(\Omega, m) = \inf_{K \in \Gamma_2} \max_{u \in K} \Phi(u)$ . ■

*Proof of Lemma 3.2.* The following proof adopts the scheme of M. Cuesta in [6]. Consider the two sets  $\partial\Omega_2 \cap \Omega$  and  $\partial\Omega_1 \cap \Omega$ . We distinguish two cases (1)  $\partial\Omega_2 \cap \Omega \not\subseteq \partial\Omega_1 \cap \Omega$  or (2)  $\partial\Omega_2 \cap \Omega \subset \partial\Omega_1 \cap \Omega$ . In case (1), there exists  $x \in \partial\Omega_2 \cap \Omega$  such that  $x$  does not belong to  $\partial\Omega_1$ . Thus for some  $\varepsilon > 0$ ,  $B(x, \varepsilon) \subset \Omega$  and  $B(x, \varepsilon) \cap \Omega_1 = \emptyset$ . The set  $\theta_2 = \Omega_2 \cup B(x, \varepsilon)$  is then disjoint of  $\Omega_1$  and yields the conclusion of the lemma. Let us now deal with case (2). The function  $\varphi_2$  on  $\Omega_2$  is  $C^1$ , negative, and satisfies there  $-\Delta_p \varphi_2 \leq 0$  in the weak sense. Let  $z \in \partial\Omega_2 \cap \Omega$  satisfy the interior ball condition with respect to  $\Omega_2$ . Since  $\varphi_2$  is  $C^1$  in a neighbourhood of  $z$ , we deduce from the Hopf maximum principle that  $\frac{\partial \varphi_2}{\partial n(z)} > 0$ , where  $n$  is the exterior normal direction to the interior ball at  $z$ . Thus at least one partial derive of  $\varphi_2$  at  $z$  is nonzero. So there exists  $1 \leq j \leq N$  such that  $\frac{\partial \varphi_2}{\partial x_j} \neq 0$ . Now consider the  $C^1$  mapping  $\Psi : \Omega \rightarrow \mathbb{R}^N : (x_1, \dots, x_N) \mapsto (y_1, \dots, y_N)$  defined by  $y_i = x_i - z_i$  for all  $1 \leq i \leq N$  and  $i \neq j$ ,  $y_j = \varphi_2(x_1, x_2, \dots, x_N)$ , by the

inverse mapping theorem there is an open neighbourhood  $U$  of  $z$  which is diffeomorphic through  $\Psi$  to  $V := \{y \in \mathbb{R}^N : |y| < \varepsilon\}$  for some  $\varepsilon > 0$ . Since  $\varphi_2(\Psi^{-1}(y)) = y_j$ , we have  $\varphi_2 = 0$  on  $\Psi^{-1}(V^0)$ ,  $\varphi_2 > 0$  on  $\Psi^{-1}(V^+)$  and  $\varphi_2 < 0$  on  $\Psi^{-1}(V^-)$ , where  $V^0 = \{y \in V : y_j = 0\}$ ,  $V^+ = \{y \in V : y_j > 0\}$ ,  $V^- = \{y \in V : y_j < 0\}$ . Moreover  $U = \Psi^{-1}(V^0) \cup \Psi^{-1}(V^+) \cup \Psi^{-1}(V^-)$ . We have  $z \in \partial\Omega_1 \cap \Omega$ ,  $\Psi^{-1}(V^+)$  is open and connected, and  $\Omega_1$  is a positive nodal domain. Consequently  $\Psi^{-1}(V^+) \subset \Omega_1$ . Similarly we have  $\Psi^{-1}(V^-) \subset \Omega_2$ . Thus  $z$  does not belong to  $\partial\Omega_3$ . So there exists  $\varepsilon > 0$  such that  $B(z, \varepsilon) \cap \Omega_3 = \emptyset$ , in which case we put  $\theta_2 = B(z, \varepsilon) \cup \Omega_2$ . ■

**Corollary 3.3.** Let  $\Omega_1$  and  $\Omega_2$  be the nodal domains of the second eigenfunction. Then we have  $|\Omega| \geq |\Omega_1| + |\Omega_2| \geq 2(C\lambda_2(\Omega, m)\|m\|_r)^{-\gamma}$ , where  $\gamma = \frac{rN}{rp - N}$  and  $C$  is some constant depending only on  $N$  and  $p$  if  $p \neq N$  and on  $N$  and  $r'$  if  $p = N$ .

*Proof.* This follows from Theorem 3.1 and using [5, Theorem 3.2]. ■

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